

**In the United States Patent and Trademark Office**

In re application of: Jeffrey Herold

Titled: Flip-up Eyewear

Filed: Herewith



**Information Disclosure Statement**

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Attached hereto is form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that these documents be considered by the examiner and an initialed copy of each form be returned to the undersigned.

This disclosure statement should not be construed as a representation that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures section 609. If for some reason the examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

A copy of each document is enclosed.

Some of the documents may have markings or other extraneous markings thereon. No significance is meant to be attached to the markings.

These documents are not necessarily analogous art.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Joseph E. Page".

Joseph E. Page  
#35,311



# FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) **355**

## Complete if Known

Application Number  
Filing Date  
First Named Inventor **Herold, J.**  
Examiner Name  
Group / Art Unit  
Attorney Docket No. **236.6**

U.S. PTO  
097690360  
10/16/00

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **500296**

Deposit Account Name **Page Lohr Associates**

- ☒ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid   |
|----------------------------|----------------------------|------------------------|------------|
| 101 760                    | 201 380                    | Utility filing fee     | <b>355</b> |
| 106 310                    | 206 155                    | Design filing fee      |            |
| 107 480                    | 207 240                    | Plant filing fee       |            |
| 108 760                    | 208 380                    | Reissue filing fee     |            |
| 114 150                    | 214 75                     | Provisional filing fee |            |

SUBTOTAL (1) (\$) **355**

### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims              | Fee from below | Fee Paid |
|--------------------|---------------------------|----------------|----------|
| <b>11</b>          | -20** = <b>0</b>          | X <b>0</b>     | <b>0</b> |
| Independent Claims | <b>1</b> - 3** = <b>0</b> | X <b>0</b>     | <b>0</b> |
| Multiple Dependent |                           |                | <b>0</b> |

\*\*or number previously paid, if greater; For Reissues, see below

### Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description  |
|---------------|---------------|--|
| 103 18        | 203 9         | Claims in excess of 20                                     |
| 102 78        | 202 39        | Independent claims in excess of 3                          |
| 104 260       | 204 130       | Multiple dependent claim, if not paid                      |
| 109 78        | 209 39        | ** Reissue independent claims over original patent         |
| 110 18        | 210 9         | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) **0**

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 105 130                    | 205 65                     | Surcharge - late filing fee or oath  |          |
| 127 50                     | 227 25                     | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139 130                    | 139 130                    | Non-English specification  |          |
| 147 2,520                  | 147 2,520                  | For filing a request for reexamination                                     |          |
| 112 920*                   | 112 920*                   | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840*                 | 113 1,840*                 | Requesting publication of SIR after Examiner action                        |          |
| 115 110                    | 215 55                     | Extension for reply within first month                                     |          |
| 116 380                    | 216 190                    | Extension for reply within second month                                    |          |
| 117 870                    | 217 435                    | Extension for reply within third month                                     |          |
| 118 1,360                  | 218 680                    | Extension for reply within fourth month                                    |          |
| 128 1,850                  | 228 925                    | Extension for reply within fifth month                                     |          |
| 119 300                    | 219 150                    | Notice of Appeal   |          |
| 120 300                    | 220 150                    | Filing a brief in support of an appeal                                     |          |
| 121 260                    | 221 130                    | Request for oral hearing   |          |
| 138 1,510                  | 138 1,510                  | Petition to institute a public use proceeding                              |          |
| 140 110                    | 240 55                     | Petition to revive - unavoidable   |          |
| 141 1,210                  | 241 605                    | Petition to revive - unintentional   |          |
| 142 1,210                  | 242 605                    | Utility issue fee (or reissue)   |          |
| 143 430                    | 243 215                    | Design issue fee   |          |
| 144 580                    | 244 290                    | Plant issue fee  |          |
| 122 130                    | 122 130                    | Petitions to the Commissioner  |          |
| 123 50                     | 123 50                     | Petitions related to provisional applications                              |          |
| 126 240                    | 126 240                    | Submission of Information Disclosure Stmt                                  |          |
| 581 40                     | 581 40                     | Recording each patent assignment per property (times number of properties) |          |
| 146 760                    | 246 380                    | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 760                    | 249 380                    | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| Other fee (specify) _____  |                            |  |          |
| Other fee (specify) _____  |                            |  |          |

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **0**

## SUBMITTED BY

| Name (Print/Type)  | Registration No. (Attorney/Agent) | Telephone           |
|--------------------|-----------------------------------|---------------------|
| <b>Joseph Page</b> | <b>35,311</b>                     | <b>619 702 4471</b> |
| Signature          | Date                              |                     |